NOV 2 7 2006

Attorney Docket No. THED-001/01US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Kristen BRIGGS et al.

Confirmation No.: 6405

Serial No.: 10/536,875

Group Art Unit: not yet assigned

Filed: May 27, 2005

Examiner: not yet assigned

01 FC:1464

For:

PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED

FUCOSYLATION

U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

11/28/2006 MBIZUNES 00000070 500310 10536875

130.00 DA

PETITION FOR FILING AN APPLICATION UNDER 37 C.F.R. §1.47(a) with accompanying STATEMENT OF FACTS IN SUPPORT THEREOF

Sir:

Joint inventors Kristen Briggs, Todd Glancy, Anton S. Karnoup, W.H. Kerr Anderson, Dayakar Pareddy, Joseph Petolino, Beth Rubin-Wilson, Doug Taylor and Jean L. Roberts respectfully petition to file this application under 37 C.F.R. §1.47(a) for themselves and on behalf of non-signing inventor Mich B. Hein.

Submitted with the Response to the Notice of Missing Requirements filed concurrently, is a Combined Declaration and Power of Attorney (copies attached hereto) signed by Kristen Briggs, Todd Glancy, Anton S. Karnoup, W.H. Kerr Anderson, Dayakar Pareddy, Joseph Petolino, Beth Rubin-Wilson, Doug Taylor and Jean L. Roberts. The circumstances relating to the inability to obtain the signature of the remaining inventor on the Declaration for the abovereferenced application were set forth in an accompanying Statement of Facts.

The non-signing inventor's last know address is:

5536 South Dorchester Avenue, Unit #1W, Chicago, IL 60637

BEST AVAILABLE COPY

- 1.47 B

Attorney Docket No. THED-001/01US Application No. 10/536,875 Page 2

The Commissioner is hereby authorized to charge \$130.00 for the petition fee under 37 C.F.R. §1.17(i) to Deposit Account No. 50-0310.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Dated: November 27, 2006

COOLEY GODWARD KRONISH LLP

ATTN: Patent Group The Bowen Building 875 15th Street NW, Suite 800

Washington, DC 20005-2221

Tel: (202) 842-7800 Fax: (202) 842-7899 Respectfully submitted,

COOLEY GODWARD KRONISH LLP

By:

Erich E. Veitenheimer Reg. No. 40,420

E. 0 & 18

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kristen BRIGGS et al.

Confirmation No.: 6405

Serial No.: 10/536,875

Group Art Unit: not yet assigned

Filed: May 27, 2005

Examiner: not yet assigned

For: PLANT PRODUCT FUCOSYLATION

PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED

U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

STATEMENT OF FACTS IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47(a)

I, Erich E. Veitenheimer III, Ph.D., declare as follows:

- 1. I reside at 1122 Roan Lane, Alexandria, VA 22302.
- 2. I am a Partner at the law firm of Cooley Godward Kronish LLP.
- 3. U.S. Patent Application No. 10/536,875 is based on PCT/US2003/037905.
- 4. Mich B. Hein is an original named inventor of U.S. Patent Application No. 10/536,875 based on PCT/US2003/037905.
- 5. I believe the current address of Mich B. Hein is 5536 South Dorchester Avenue, Unit #1W, Chicago, IL 60637.
- 6. On March 13, 2006, Mich B. Hein executed a Declaration and Assignment document for U.S. Patent Application No. 10/536,875, based on PCT/US2003/037905 (attached as Exhibit A). The Declaration of which was rejected by the U.S. PTO, Notification of Defective Response being received at my office on November 14, 2006..
- 7. On November 17, 2006, my assistant cc'd Mich B. Hein on an e-mail informing him that a new Declaration must be filed, and attaching a copy of said new Declaration with the e-mail. A copy of the e-mail and Declaration are attached as Exhibit B.

- 8. On November 17, 2006, a Fedex package was sent to Mich B. Hein forwarding the new Declaration for signature. A copy of the electronic ship confirmation is attached as Exhibit C.
- 9. On November 20, 2006, the Fedex package was delivered to Mich B. Hein. a copy of the Fedex tracking results along with a copy of the signature confirmation is attached as Exhibit D.
- 10. As of today, Mich B. Hein has not responded to our request to sign the aforementioned documents.
- 11. I further declare that all statements made herein of our own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Tile 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-referenced patent.

Dated: November 27, 2006

COOLEY GODWARD KRONISH LLP

ATTN: Patent Group The Bowen Building

875 15th Street NW, Suite 800 Washington, DC 20005-2221

Tel: (202) 842-7800 Fax: (202) 842-7899 Respectfully submitted,

COOLEY GODWARD KRONISH LLP

By: Erich E. Veitenheimer

Reg. No. 40,420

ASSIGNMENT

I, Mich Bradley Hein, residing at 5536 South Dorchester Avenue, Unit #1W, Chicago, IL 60637 (referred to as "Assignor") has made an invention(s) (the "Invention(s)") set forth in an application for patent of the United States, entitled PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED FUCOSYLATION, and which is a:

(1)	[] provisional application
	(a) [] to be filed herewith; or
	(b) [] bearing Application No. , and filed on ; or
(2)	[X] non-provisional application
	(a) [] to be filed herewith; or
	(b) [X] bearing Application No. 10/536,875, and filed on May 27, 2005.

WHEREAS, The Dow Chemical Company, a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 2030 Dow Center, Midland, MI 48674 (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application for patent identified in paragraph (1) or (2); the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to these application(s); any provisional or other right to recover damages, including royalties, for prior infringements of these applications; and any patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application for patent identified in paragraph (1) or (2);
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty;
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to the application for patent identified in paragraph (1) or (2) or any application(s) for patent claiming the Invention(s), including any division(s), continuation(s), and continuation(s)-in-part;
- (f) any provisional or other right to recover damages, including royalties, for prior infringements of any application for patent identified in the proceeding paragraphs (b)-(e); and

(g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b) – (e), including any reissue(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is the sole and lawful owner of the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said applications, and said patents, including interference proceedings, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY GODWARD L.L.P. to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application identified in paragraph (1) or (2) when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date: 13 MARCH 2006	By: Mich Bradley Hein
State of Illinois	
County of Cook ss.	
be the person(s) whose name(s) is/are subscribe he/she/they executed the same in his/her/their auth the instrument the person(s), or the entity upon be	Barbara T. Crawford, personally appeared o me or proved to me on the basis of satisfactory evidence, to ed to the within instrument and acknowledged to me that norized capacity(ies), and that by his/her/their signature(s) on half of which the person(s) costed executed the instrument. OFFICIAL SEAL
WITNESS my hand and official seal. Signature of Notary Public	NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES: 05/15/08
Orginatore of French Laboration	05/15/06

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED FUCOSYLATION

the specification of which:

(check one)

[] was filed as United States Application Serial No. ___ on ___, and was amended on (if applicable);

[X] was filed as PCT International Application No. <u>PCT/US2003/037905</u> on <u>November 28, 2003</u> and was amended under PCT Article 19 or Article 34 on ___ (if applicable);

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information which is known to me to be material to the patentability of said invention in accordance with 37 C.F.R. §1.56;

I hereby claim foreign priority benefits under 35 U.S.C. §119 and/or §365 of any foreign application(s) for patent, any foreign application(s) for inventor's certificate, or any PCT international application(s) designating at least one country other than the United States of America listed below; I have also identified below any foreign application(s) for patent, any foreign application(s) for inventor's certificate, or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Prior Foreign Application(s)

COUNTRY/INTERNATIONAL	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			[] YES [] NO
			[] YES [] NO

Attorney Docket No.: THED-001/01US

Page 2

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/429,385	27 November 2002
(Application Number)	(Filing Date) (day, month, year)
(Application Number)	(Filing Date) (day, month, year)

I hereby claim the benefit under 35 U.S.C. §120 and/or §365 of any United States application(s) or of any international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

Prior U.S. Application(s) or PCT International Applications Designating the U.S. for benefit under 35 U.S.C. §120

U.S. APPLICATIONS				STATUS (check one)		
U.S. APPLICATION	INO. U.S	S. FILINO	DATE (day, month, year)	Pending	Patented	Abandoned
				0	[]	
				[]	[]	[]
PCT APPLICATIONS DESIGNATING THE U.S.						
PCT APPLICATION	PCT FILING	DATE	U.S. APPLICATION			
NO.	(day, month,	year)	NOS. (if any)	<u> </u>		
				[]	[]	[]
				[]	[]	[]

As a named inventor, I hereby appoint the registered practitioners of Cooley Godward LLP included in the Customer Number provided below to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith; I further direct that correspondence concerning this application be directed to:

COOLEY GODWARD LLP ATTN: Patent Group The Bowen Building 875 15th Street NW, Suite 800 Washington, DC 20005-2221 Tel: (202) 842-7800

Fax: (202) 842-7899

CUSTOMER NUMBER: 58249

Attorney Docket No.: THED-001/01US

Page 3

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full inventor:

Mich Bradley Hein

Inventor's signature

Date .

Residence: Chicago, Illinois

Citizen of: United States of America

Post Office Address: 5536 South Dorchester Avenue, Unit #1W, Chicago, IL 60637

Weber-Sanford, Heather

From:

Weber-Sanford, Heather

Sent:

Friday, November 17, 2006 5:03 PM

To:

'Maciak, Ronald (RS)'

Cc:

'Mich Hein': 'andyhiatt@yahoo.com'; Veitenheimer, Erich

Subject:

URGENT - U.S. Patent Application No. 10/536,875

Attachments:

DC-#43152-v1-THED-001 01US - New Declaration.DOC

Re:

U.S. Patent Application No. 10/536,875

Based on PCT International Application No. PCT/US03/37905

For: PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED FUCOSYLATION

TDCC Reference: 62346

Our Reference: THED-001/01US

Dear Ron:

We just received notification from the PTO (via Morgan Lewis) that a new declaration must be filed in this case by November 27, 2006, with no extensions available. The problem with the original Declaration is that while the inventors all don't have to sign the same document, any document they do sign must include a listing of all inventors listed on the application (which is why the PTO rejected the originally filed Declarations).

Attached is a new Declaration for signature by the inventors. Please have the inventors sign and date this document as quickly as possible.

We will send Drs. Hein and Hiatt (who are cc'd on this e-mail) a copy of the Declaration via Fedex with a returned-paid Fedex envelope

Heather C. Weber

Legal Secretary to Erich E. Veitenheimer, Ph.D. Heather M. Boussios and Jeffery c. Wyzykowski Cooley Godward Kronish LLP • The Bowen Building • 875 15th Street NW Suite 800 • Washington, DC 20005-2221

Direct: 202/842-7852 • Fax: 202/842-7899

Email: hwebersanford@cooley.com • www.cooley.com



DC-#43152-v1-THE D-001_01US_-_N...

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

<u>PLA1</u>	NT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED FUCOSYLATION
the spec	cification of which:
(check	one)
	[] is attached hereto;
	[] was filed as United States Application Serial No on, and was amended on icable);
	[X] was filed as PCT International Application No. PCT/US03/37905 on November 28,

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;

2003 and was amended under PCT Article 19 or Article 34 on ___ (if applicable);

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information which is known to me to be material to the patentability of said invention in accordance with 37 C.F.R. §1.56;

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Prior Foreign Application(s)

COUNTRY/INTERNATIONAL	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			[] YES [] NO
			[] YES [] NO

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/429,385	27 November 2002
(Application Number)	(Filing Date) (day, month, year)
(Application Number)	(Filing Date) (day, month, year)

I hereby claim the benefit under 35 U.S.C. §120 and/or §365 of any United States application(s) or of any international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

<u>Prior U.S. Application(s) or PCT International Applications Designating the U.S. for benefit under 35 U.S.C. §120</u>

	U.S. APPLICATIONS				STATUS (check one)		
U.S. APPLICATION	NO. U.S. FILING	U.S. FILING DATE (day, month, year)		Patented	Abandoned		
			[]	[]	[]		
			[]	[]	()		
PCT APPLIC	CATIONS DESIGNATI	ING THE U.S.					
PCT APPLICATION	PCT FILING DATE	U.S. APPLICATION					
NO.	(day, month, year)	NOS. (if any)					
			[]	[]	[]		
			[]	[]	[]		

As a named inventor, I hereby appoint the registered practitioners of Cooley Godward Kronish LLP included in the Customer Number provided below to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith; I further direct that correspondence concerning this application be directed to:

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Tel: (202) 842-7800 Fax: (202) 842-7899

CUSTOMER NUMBER: 58249

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Kristen Briggs	
Inventor's signature I	Date
Residence: Del Mar, CA	
Citizen of: United States of America	
Post Office Address: 2454 Mango Way, Del Mar, CA 92	014
Full name of second inventor: Todd Glancy	
Inventor's signature I	Date
Residence: Fairmont, IN	
Citizen of: United States of America	
Post Office Address: 4240 E. 1050 South, Fairmont, IN	16928
Full name of third inventor: Mich B. Hein	
Inventor's signature I	Date
Residence: Chicago, IL	
Citizen of: United States of America	
Post Office Address: 5536 South Dorchester Avenue, Unit	it 1W, Chicago, IL 60637

Full name of fourth inventor: Andrew C. Hiatt	
Inventor's signature Date Residence: United States of America	
Residence: United States of America	
Citizen of: 660 Torrance Street, San Diego, CA 92103	
Post Office Address:	
Full name of fifth inventor: Anton S. Karnoup	
Inventor's signature Date	
Residence: Russia	
Citizen of: United States of America	
Post Office Address: 1014 Adams Drive, Midland, MI 48642	
Full name of sixth inventor: W.H. Kerr Anderson	
Inventor's signature Date	
Residence: Midland, MI	•
Citizen of: Great Britain	
Post Office Address: 5112 Cortland Street, Midland, MI 48642	
Full name of seventh inventor: Dayakar Pareddy	
Inventor's signature Date	
Residence: Carmel, IN	
Citizen of: United States of America	
Post Office Address: 665 Woodbine Drive, Carmel, IN 46033	
Full name of eighth inventor: Joseph Petolino	
Inventor's signature Date	
Residence: Zionsville, IN	
Citizen of: United States of America	
Post Office Address: 270 Woodstock Court, Zionsville, IN 46077	
Full name of ninth inventor: Beth Rubin-Wilson	
Inventor's signature Date	
Residence: Indianapolis, IN	
Citizen of: United States of America	
Post Office Address: 8614 Seaward Lane, Indianapolis, IN 46256	

Doug Taylor
Date
Court, San Diego, CA 92127
Jean L. Roberts
Jean L. Roberts
Date
ad 19, Arcadia, IN 46030



Fed Exx.

package id 0028887

ship date Fri, Nov 17

to
Mich Hein
5536 S Dorchester Ave
Apt 1W
Chicago , IL 60637-1700 US
202-842-7852
residential address

No return label

No

notification type Delivery

notification recipients
hwebersanford@cooley.com

from Erich Veitenheimer (13248)

Cooley Godward Kronish LLP The Bowen Building 875 15th Street, NW Suite 800 Washington, DC 20005 US 202/842-7801

billing

DOW AGROSCIENCES LLC-THED-001/01US PLANT PRODUCTION OF IMMUNOGLOBULINS

(306525-2010) operator

Heather Weber-Sanford 202/842-7852 hwebersanford@cooley.com

create time 11/17/06, 4:56PM vendor FedEx

tracking number 791170761839

service FedEx Priority

packaging FedEx Pak

dimensions 1.0 LBS

signature
Direct signature - at address

options
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Status		Delivered			
Date/Time		Activity	Location	Details	
Nov 20, 2006	4:19 PM	Delivered	Chicago, IL		
	8:05 AM	delivery	SOUTH HOLLAND,		
Nov 18, 2006	11:16 AM	Delivery exception	SOUTH HOLLAND, IL	Customer not business clos	
	8:36 AM	On FedEx vehicle for delivery	SOUTH HOLLAND,	240,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
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	4:14 AM	Arrived at FedEx location	CHICAGO, IL		
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Not available for Wireless or non-English characters.					



FedEx Express Customer Support Trace 3875 Airways Boulevard Module H, 4th Floor Memphis, TN 38116 U.S. Mail: PO Box 727 Memphis, TN 38194-4643

Telephone: 901-369-3600

November 27,2006

Dear Customer:

The following is the proof of delivery you requested with the tracking number 791170761839.

Delivery Information:

Status:

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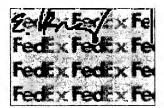
Delivery location:

5536 S DORCHESTER

Signed for by: Service type:

M.HEIN Priority Pak Delivery date:

Nov 20, 2006 16:19



Shipping Information:

Tracking number:

791170761839

Ship date:

Nov 17, 2006

Weight:

1.0 lbs.

Recipient:

Mich Hein 5536 S Dorchester Ave Apt 1W

Chicago, IL 606371700 US

Reference

Shipper:

Erich Veitenheimer

Cooley Godward Kronish LLP

The Bowen Building

875 15th Street, NW Suite 800

Washington, DC 20005 US

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Attorney Docket No. THED-001/01US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Kristen BRIGGS et al.

Confirmation No.: 6405

Serial No.: 10/536,875

Group Art Unit: not yet assigned

Filed: May 27, 2005

Examiner: not yet assigned

For:

NOV 2 7 2006

PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED

FUCOSYLATION

U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

PETITION FOR FILING AN APPLICATION UNDER 37 C.F.R. §1.47(a) with accompanying STATEMENT OF FACTS IN SUPPORT THEREOF

Sir:

Joint inventors Kristen Briggs, Todd Glancy, Anton S. Karnoup, W.H. Kerr Anderson, Dayakar Pareddy, Joseph Petolino, Beth Rubin-Wilson, Doug Taylor and Jean L. Roberts respectfully petition to file this application under 37 C.F.R. §1.47(a) for themselves and on behalf of non-signing inventor Andrew C. Hiatt.

Submitted with the Response to the Notice of Missing Requirements filed concurrently, is a Combined Declaration and Power of Attorney (copies attached hereto) signed by Kristen Briggs, Todd Glancy, Anton S. Karnoup, W.H. Kerr Anderson, Dayakar Pareddy, Joseph Petolino, Beth Rubin-Wilson, Doug Taylor and Jean L. Roberts. The circumstances relating to the inability to obtain the signature of the remaining inventor on the Declaration for the above-referenced application were set forth in an accompanying Statement of Facts.

The non-signing inventor's last know address is:

660 Torrance Street, San Diego, CA 92103

Attorney Docket No. THED-001/01US Application No. 10/536,875 Page 2

The Commissioner is hereby authorized to charge \$130.00 for the petition fee under 37 C.F.R. §1.17(i) to Deposit Account No. 50-0310.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Dated: November 27, 2006

COOLEY GODWARD KRONISH LLP

ATTN: Patent Group The Bowen Building 875 15th Street NW, Suite 800

Washington, DC 20005-2221 Tel: (202) 842-7800

Fax: (202) 842-7899

Respectfully submitted,
COOLEY GODWARD KRONISH LLP

By:

Erich E. Veitenheimer Reg. No. 40,420 ttorney Docket No. THED-001/01US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of: Kristen BRIGGS et al.

Confirmation No.: 6405

Serial No.: 10/536,875

Group Art Unit: not yet assigned

Filed: May 27, 2005

Examiner: not yet assigned

For: PLANT PRO

PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED

FUCOSYLATION

U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

STATEMENT OF FACTS IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47(a)

I, Erich E. Veitenheimer III, Ph.D., declare as follows:

- 1. I reside at 1122 Roan Lane, Alexandria, VA 22302.
- 2. I am a Partner at the law firm of Cooley Godward Kronish LLP.
- 3. U.S. Patent Application No. 10/536,875 is based on PCT/US2003/037905.
- 4. Andrew C. Hiatt is an original named inventor of U.S. Patent Application No. 10/536,875 based on PCT/US2003/037905.
- 5. I believe the current address of Andrew C. Hiatt is 660 Torrance Street, San Diego, CA 92103.
- 6. On March 13, 2006, Andrew C. Hiatt executed a Declaration and Assignment document for U.S. Patent Application No. 10/536,875, based on PCT/US2003/037905 (attached as Exhibit A). The Declaration of which was rejected by the U.S. PTO, Notification of Defective Response being received at my office on November 14, 2006..
- 7. On November 17, 2006, my assistant cc'd Andrew C. Hiatt on an e-mail informing him that a new Declaration must be filed, and attaching a copy of said new Declaration with the e-mail. A copy of the e-mail and Declaration are attached as Exhibit B.

- 8. On November 17, 2006, a Fedex package was sent to Andrew C. Hiatt forwarding the new Declaration for signature. A copy of the electronic ship confirmation is attached as Exhibit C.
- 9. On November 21, 2006, the Fedex package was delivered to Andrew C. Hiatt. a copy of the Fedex tracking results along with a copy of the signature confirmation is attached as Exhibit D.
- 10. As of today, Andrew C. Hiatt has not responded to our request to sign the aforementioned documents.
- 11. I further declare that all statements made herein of our own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Tile 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-referenced patent.

Dated: November 27, 2006

COOLEY GODWARD KRONISH LLP

ATTN: Patent Group
The Bowen Building
875 15th Street NW, Suite 800

Washington, DC 20005-2221

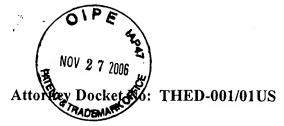
Tel: (202) 842-7800 Fax: (202) 842-7899 Respectfully submitted,

COOLEY GODWARD KRONISH LLP

By:

Erich E. Veitenheimer

Reg. No. 40,420



DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED FUCOSYLATION

TEAM TRODUCTION OF IMMUNOGLOBULING WITH REDUCED FUCUS YLATION
the specification of which:
(check one)
[] was filed as United States Application Serial No on, and was amended on (if applicable);
[X] was filed as PCT International Application No. PCT/US2003/037905 on November 28, 2003 and was amended under PCT Article 19 or Article 34 on (if applicable);
I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;
I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information which is known to me to be material to the potentiability of said invention in

I hereby claim foreign priority benefits under 35 U.S.C. §119 and/or §365 of any foreign application(s) for patent, any foreign application(s) for inventor's certificate, or any PCT international application(s) designating at least one country other than the United States of America listed below; I have also identified below any foreign application(s) for patent, any foreign application(s) for inventor's certificate, or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Prior Foreign Application(s)

accordance with 37 C.F.R. §1.56:

COUNTRY/INTERNATIONAL	APPLICATION NUMBER	DATE OF FILING (day, month; year)	PRIORITY CLAIMED	
Property of the property of the	<u> </u>	Burgara A. Car	[] YES [] NO	
2.30			. [] YES [] NO	

Attorney Docket No.: THED-001/01US

Page 2

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/429,385	27 November 2002	
(Application Number)	(Filing Date) (day, month, year)	
(Application Number)	(Filing Date) (day, month, year)	_

I hereby claim the benefit under 35 U.S.C. §120 and/or §365 of any United States application(s) or of any international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

Prior U.S. Application(s) or PCT International Applications Designating the U.S. for benefit under 35 U.S.C. §120

	U.S. APPLICATIONS	ST	ATUS (chec	ck one)	
U.S. APPLICATION	NO. U.S. FILING	U.S. FILING DATE (day, month, year)		Patented	Abandoned
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. • •			[]	[]	[]
PCT APPLIC	CATIONS DESIGNATI	NG THE U.S.			
PCT APPLICATION	PCT FILING DATE	U.S. APPLICATION			
NO.	(day, month, year)	NOS. (if any)		1	
			[]	()	[]
			0	[]	[]

As a named inventor, I hereby appoint the registered practitioners of Cooley Godward LLP included in the Customer Number provided below to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith; I further direct that correspondence concerning this application be directed to:

COOLEY GODWARD LLP ATTN: Patent Group The Bowen Building 875 15th Street NW, Suite 800 Washington, DC 20005-2221

Tel: (202) 842-7800 Fax: (202) 842-7899

CUSTOMER NUMBER: 58249

Attorney Docket No.: THED-001/01US

Page 3

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full inventor:

Andrew Caswell Hiatt

Inventor's signature Cullu

Date

3/13/06

Residence: San Diego, California Citizen of: United States of America

Post Office Address: 660 Torrance Street, San Diego, CA 92103

ASSIGNMENT

I, <u>Andrew Caswell Hiatt</u>, residing at <u>660 Torrance Street</u>, <u>San Diego</u>, <u>CA 92103</u> (referred to as "Assignor") has made an invention(s) (the "Invention(s)") set forth in an application for patent of the United States, entitled <u>PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED FUCOSYLATION</u>, and which is a:

(1)	[] provisional a	application		
	(a)	[] to be filed herewith; or		
	(b)	[] bearing Application No.	, and filed on	; or

- (2) [X] non-provisional application
 - (a) [] to be filed herewith; or
 - (b) [X] bearing Application No. <u>10/536,875</u>, and filed on <u>May 27, 2005</u>.

WHEREAS, The Dow Chemical Company, a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 2030 Dow Center, Midland, MI 48674 (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application for patent identified in paragraph (1) or (2); the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to these application(s); any provisional or other right to recover damages, including royalties, for prior infringements of these applications; and any patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application for patent identified in paragraph (1) or (2);
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty;
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to the application for patent identified in paragraph (1) or (2) or any application(s) for patent claiming the Invention(s), including any division(s), continuation(s), and continuation(s)-in-part;
- (f) any provisional or other right to recover damages, including royalties, for prior infringements of any application for patent identified in the proceeding paragraphs (b)-(e); and

(1) las Como Oba Co

(g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b) – (e), including any reissue(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is the sole and lawful owner of the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said applications, and said patents, including interference proceedings, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY GODWARD L.L.P. to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application identified in paragraph (1) or (2) when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date: 3/17/00 By: (1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/1/
Andrew Caswell Hiatt
State of CALIFORNIA
SS.
County of SAN DIEGO
On 3/13/06, before me, Keith A. CERVENIA, personally appeared ANDER (ASWELL HIATI, personally known to me or proved to me on the basis of satisfactory evidence, to
And Dew (15 well HAT), personally known to me or proved to me on the basis of satisfactory evidence to
be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on
the instrument the person(s), or the entity upon behalf of which the person suported, executed the instrument.
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
THE THE COLUMN THE PARTY OF THE
SAN DIESCONTES My Commission Expires SEPTEMBER 6, 2007
MUNT SEPTEMBLE
Signature of Notary Public Place Notary Seal Above

7/17/m6

Weber-Sanford, Heather

From: Weber-Sanford, Heather

Sent: Friday, November 17, 2006 5:03 PM

To: 'Maciak, Ronald (RS)'

Cc: 'Mich Hein'; 'andyhiatt@yahoo.com'; Veitenheimer, Erich URGENT - U.S. Patent Application No. 10/536,875

Attachments: DC-#43152-v1-THED-001_01US_-_New_Declaration.DOC

Re: U.S. Patent Application No. 10/536,875

Based on PCT International Application No. PCT/US03/37905

For: PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED FUCOSYLATION

TDCC Reference: 62346

Our Reference: THED-001/01US

Dear Ron:

We just received notification from the PTO (via Morgan Lewis) that a new declaration must be filed in this case by <u>November 27, 2006, with no extensions available</u>. The problem with the original Declaration is that while the inventors all don't have to sign the same document, any document they do sign must include a listing of all inventors listed on the application (which is why the PTO rejected the originally filed Declarations).

Attached is a new Declaration for signature by the inventors. Please have the inventors sign and date this document as quickly as possible.

We will send Drs. Hein and Hiatt (who are cc'd on this e-mail) a copy of the Declaration via Fedex with a returned-paid Fedex envelope

Heather C. Weber

Legal Secretary to Erich E. Veitenheimer, Ph.D. Heather M. Boussios and Jeffery c. Wyzykowski Cooley Godward Kronish LLP • The Bowen Building • 875 15th Street NW Suite 800 • Washington, DC 20005-2221

Direct: 202/842-7852 • Fax: 202/842-7899

Email: hwebersanford@cooley.com • www.cooley.com



DC-#43152-v1-THE D-001_01US_-_N...

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PLANT PRODUCTION OF IMMUNOGLOBULINS WITH REDUCED FUCOSYLATION

the specification of which:

(check one)

[] is attached hereto;

[] was filed as United States Application Serial No. ____ on ____, and was amended on (if applicable);

[X] was filed as PCT International Application No. PCT/US03/37905 on November 28,

2003 and was amended under PCT Article 19 or Article 34 on ___ (if applicable);

I have reviewed and understand the contents of the above-identified specification,

including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information which is known to me to be material to the patentability of said invention in accordance with 37 C.F.R. §1.56;

I hereby claim foreign priority benefits under 35 U.S.C. §119 and/or §365 of any foreign application(s) for patent, any foreign application(s) for inventor's certificate, or any PCT international application(s) designating at least one country other than the United States of America listed below; I have also identified below any foreign application(s) for patent, any foreign application(s) for inventor's certificate, or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Prior Foreign Application(s)

COUNTRY/INTERNATIONAL	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			[] YES [] NO
			[] YES [] NO

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/429,385	27 November 2002	
(Application Number)	(Filing Date) (day, month, year)	
(FF	(
(Amplication Number)	(Eiling Data) (1	—
(Application Number)	(Filing Date) (day, month, year)	

I hereby claim the benefit under 35 U.S.C. §120 and/or §365 of any United States application(s) or of any international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

Prior U.S. Application(s) or PCT International Applications Designating the U.S. for benefit under 35 U.S.C. §120

U.S. APPLICATIONS					ATUS (chec	k one)
U.S. APPLICATION	NO.	U.S. FILING DATE (day, month, year)		Pending	Patented	Abandoned
				[]	[]	[]
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PCT APPLIC	PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION	PCT FILING DATE		U.S. APPLICATION			_
NO.	(day, month, year)		NOS. (if any)			
				()	[]	[0]
_				[]	[]	[]

As a named inventor, I hereby appoint the registered practitioners of Cooley Godward Kronish LLP included in the Customer Number provided below to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith; I further direct that correspondence concerning this application be directed to:

COOLEY GODWARD KRONISH LLP ATTN: Patent Group The Bowen Building 875 15th Street NW, Suite 800 Washington, DC 20005-2221

Tel: (202) 842-7800 Fax: (202) 842-7899

CUSTOMER NUMBER: 58249

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor:	Kristen Briggs
Inventor's signature	Date
Residence: Del Mar, CA	
Citizen of: United States of Amer	ica
Post Office Address: 2454 Mango	Way, Del Mar, CA 92014
Full name of second inventor:	Todd Glancy
Inventor's signature	Date
Residence: Fairmont, IN	
Citizen of: United States of Amer	ica
Post Office Address: 4240 E. 1050	0 South, Fairmont, IN 46928
Full name of third inventor:	Mich B. Hein
Inventor's signature	Date
Residence: Chicago, IL	
Citizen of: United States of Amer	ica
Post Office Address: 5536 South	Dorchester Avenue, Unit 1W, Chicago, IL 60637

Full name of fourth inventor:	Andrew C. Hiatt
Inventor's signature	Diego, CA 92103
Full name of fifth inventor:	Anton S. Karnoup
Inventor's signature	
Full name of sixth inventor:	W.H. Kerr Anderson
Inventor's signature	ı
Full name of seventh inventor:	Dayakar Pareddy
Inventor's signature Residence: Carmel, IN Citizen of: United States of America Post Office Address: 665 Woodbine I	
Full name of eighth inventor:	Joseph Petolino
Inventor's signature Residence: Zionsville, IN Citizen of: United States of America Post Office Address: 270 Woodstock	
Full name of ninth inventor:	Beth Rubin-Wilson
Inventor's signature Residence: Indianapolis, IN Citizen of: United States of America Post Office Address: 8614 Seaward L	Dateane, Indianapolis, IN 46256

Full name of tenth inventor:	Doug Taylor
Inventor's signature	Date
Residence: San Diego, CA	
Citizen of: United States of America	
Post Office Address: 17793 Arawak C	Court, San Diego, CA 92127
Full name of eleventh inventor:	Jean L. Roberts
Inventor's signature	Date
Residence: Arcadia, IN	
Citizen of: United States of America	•
Post Office Address: 26035 State Road	d 19, Arcadia, IN 46030



Fed Exx.

package id 0028890

ship date Fri. Nov 17

to

Andrew Hiatt 660 Torrance St San Diego , CA 92103-3922

US 202-842-7852

residential address

Yes

return label

No

notification type

Delivery

notification recipients hwebersanford@cooley.com

from

Erich Veitenheimer (13248) Cooley Godward Kronish LLP The Bowen Building 875 15th Street, NW Suite 800 Washington, DC 20005 US 202/842-7801

billing

DOW AGROSCIENCES LLC-THED-001/01US PLANT PRODUCTION OF IMMUNOGLOBULINS

(306525-2010)

operator

Heather Weber-Sanford

202/842-7852

hwebersanford@cooley.com

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Status	0	Delivered				
Date/Time		Activity	Location	Details		
	9:21 AM	Delivered	San Diego, CA			
Nov 20, 2006	9:37 AM	Delivery exception	SAN DIEGO, CA	Customer not available or business closed		
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Nov 18, 2006	6:06 PM	At local FedEx facility	SAN DIEGO, CA			
	1:42 PM	Delivery exception	SAN DIEGO, CA	Customer not business close		
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	9:42 AM	On FedEx vehicle for delivery	SAN DIEGO, CA			
9	9:18 AM	At local FedEx facility	SAN DIEGO, CA			
	7:50 AM	At dest sort facility	SAN DIEGO, CA			
	4:16 AM	Departed FedEx location	MEMPHIS, TN			
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Reference



FedEx Express Customer Support Trace 3875 Airways Boulevard Module H, 4th Floor Memphis, TN 38116 U.S. Mail: PO Box 727 Memphis, TN 38194-4643

Telephone: 901-369-3600

November 27,2006

Dear Customer:

The following is the proof of delivery you requested with the tracking number 790610861373.

Delivery Information:

Status:

Delivered

Delivery location:

660 TORRANCE ST

Signed for by:

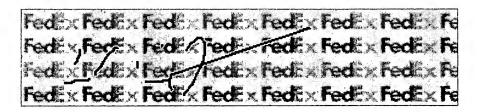
C.CRUZ

Delivery date:

Nov 21, 2006 09:21

Service type:

Priority Envelope



Shipping Information:

Tracking number:

790610861373

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